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APR 12 2007

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TECHNOLOGY CENTER 3600

In re Application of: Kazunori SUZUKI et. al.

Application Number: 09/899,818

Filed: July 9, 2001

For: RELAY SERVER, RELAYING METHOD AND

PAYMENT SYSTEM

PETITION UNDER 37

C.F.R. 1.181(a) TO

GRANT RELIEF FROM

THE REQUIREMENT

FOR INFORMATION

This is a decision on Applicant's Petition under 37 C.F.R. 1.181 received on August 26, 2005 to grant relief from the Requirement for Information under 37 C.F.R.1.105 submitted by the Examiner on May 19, 2005.

The Petition is **DISMISSED** as moot.

A review of the file record reveals that an Office Action was mailed May 19, 2005 wherein a requirement for information under 37 CFR 1.105 was set forth and a two-month shortened statutory period for response was set. On June 15, 2005, the examiner withdrew the requirement for information and indicated that no further action by applicant was necessary. A petition to accept a response filed July 23, 2005 was filed on July 19, 2005 and granted on November 9, 2005. (The response filed July 23, 2005 was not matched with the file).

The instant petition filed August 26, 2005 requests relief from the requirement for information set forth by the examiner in the Office action mailed May 19, 2005.

Since the examiner has withdrawn the requirement for Information under 37 CFR 1.105, as set forth in the interview summary mentioned above, this petition is hereby dismissed as moot.

Petitioner is reminded that a response to the Office action mailed March 29, 2007 is due on June 29, 2007.

SUMMARY: The Petition is Dismissed as moot.

Wynn Coggins, Director

Patent Technology Center 3600

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WWC/JAK: 1/22/07

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MEMO

To: Mr. James Kramer, PTO

From: Allen Wood, Reg. No. 28,134 Re: Application number 09/899,818

Date: January 24, 2007

Via Fax (571-273-6783)

As discussed, here is a copy of an Interview Summary record. It appears to be dated June 15 '05, but I think it was actually faxed to me on November 3.

Interview Summary	Application No.	Applicant(s)
	09/899,818	Suzuki
	Examiner	Art Unit
	Telter.	3624
All participants (applicant, applicant's representative, PTO personnel):		
(1) Mr mody	(3)	
(1) Mr mod)	(4)	
Date of Interview: (6/15/0)		
Type: a)☐ Telephonic b)☐ Video Conference c)☐ Personal [copy given to: 1)☐ applicant	2) applicant's representative	a]
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e) No.	
Claim(s) discussed:		
Identification of prior art discussed:		
Agreement with respect to the claims f) was readhed. g) was not reached. h) N/A.		
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments:		
(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)		
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.		
The 105 Request		
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the Example To make the		
105 Meg Was Por Applicant Te		
Of RegulosT- 105 RegulosT- 105 RegulosT- 105 RegulosT- 105 RegulosT- 10 Thore is No Need FOR Applicant to 10 Thore is No Need FOR Applicant to 10 SPOND TO THIS RequesT 10 AN ACTION WIll be FORTH COMING		
O AN ACKING		
Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.	Examiner's sign	ature, if required

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record A complete written statement as to the substance of any face-to-face, vider conference, or telephone interview with regard to an application must be made of record in the application whether or not an egreement with the exeminer was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews Perspreph (b)

in every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview see warranting favorable action must be filed by the applicant. An interview does not remove the necessity for rapiy to Office action as specified in §§ 1.111, 1.135, (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transpoted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged onel promise, stipulation, or understanding in relation to which there is disagreement or doubt,

The action of the Fratent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

it is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's nesponsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an Interview is completely recorded in an Examiner. Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a riuplicate of the Form is given to the applicant (or attorney or egent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is malled to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of Interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An Identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or dalms agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplymented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- a brief identification of the general thrust of the principal arguments presented to the examiner.
 - (The Identification of arguments need not be lengthy or elaborate. A verballim or highly detailed description of the arguments is not required. The identification of the arguments in sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feers were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the dalms are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.